

Problem Set #7

Exercise 1:

Let $D > 1$ be a square free integer and d the discriminant of the real quadratic number field $K = \mathbb{Q}(\sqrt{D})$. Let x_1, y_1 be the uniquely determined rational integer solution of the equation

$$x^2 - Dy^2 = -4$$

or – in case this equation has no rational integers solutions of the equation

$$x^2 - Dy^2 = 4$$

for which $x_1, y_1 > 0$ are as small as possible. Then

$$\epsilon_1 = \frac{x_1 + y_1\sqrt{D}}{2}$$

is a fundamental unit of K . (The pair of equations $x^2 - dy^2 = \pm 4$ is called **Pell's equation**.)

Solution:

First, since $K = \mathbb{Q}(\sqrt{D})$ with $D > 0$ real implies $r = 2, s = 0$ and then by Dirichlet's unit theorem, there is exactly $r + s - 1 = 2 - 1 = 1$ fundamental units $\epsilon \in \mathcal{O}_K$. We have proven that

$$\mathcal{O}_K = \begin{cases} \mathbb{Z}[\frac{1+\sqrt{D}}{2}] & \text{if } D \equiv 1 \pmod{4} \\ \mathbb{Z}[\sqrt{D}] & \text{if } D \equiv 2, 3 \pmod{4} \end{cases}$$

and units are $\pm 1\epsilon^n$, since ± 1 are the only 2-roots of unity in $\mathbb{Q}(\sqrt{D})$.

Now, we recall that $\epsilon \in \mathcal{O}_K^*$ if and only if $N_{K/\mathbb{Q}}(\epsilon) = \pm 1$. Now,

1. if $D \equiv 1 \pmod{4}$, $\epsilon = 1/2x + 1/2\sqrt{D}y \in \mathbb{Z}[\frac{1+\sqrt{D}}{2}]$ with $x, y \in \mathbb{Z}$ so that $x^2 - Dy^2 = \pm 4$ and
2. if $D \equiv 2, 3 \pmod{4}$, $\epsilon = x + \sqrt{D}y \in \mathbb{Z}[\sqrt{D}]$ with $x, y \in \mathbb{Z}$ so that $x^2 - Dy^2 = \pm 1$ so that $\epsilon = x + \sqrt{D}y$ with $x, y \in \mathbb{Z}$. But now this is equivalent to $x^2 - Dy^2 = \pm 4$. Indeed, if $D \equiv 2 \pmod{4}$, $x^2 \equiv 0 \pmod{2}$ then x is even implying y to be even. Now if $D \equiv 3 \pmod{4}$, $x^2 \equiv 3y^2 \pmod{4}$ but since square mod 4 are either congruent to 1 or 0, the only possibility is that x and y are even.

Notice that if $u, v \in \mathbb{Z}$ satisfy $(u/2)^2 - N(v/2)^2 = \pm 1$ and $u/2 + v/2\sqrt{D} > 1$, then $u/2 - v/2\sqrt{D}$, being equal to $(u/2 + v/2\sqrt{D})^{-1}$ lies between -1 and 1 . Addition of the inequalities $u/2 + v/2\sqrt{D} \geq 1$ and $-1 \leq u/2 - v/2\sqrt{D} \leq 1$ implies $u > 0$. Substraction of these inequalities yields $v > 0$. So, requiring that u and v are minimal is equivalent

to asking that $u/2 + v/2\sqrt{D}$ is minimal greater than 1. Clearly if $x^2 - Dy^2 = -4$ as a solution the minimal one will be smaller than the minimal one for $x^2 - Dy^2 = 4$.

Finally by Dirichlet theorem we know that there is a fundamental unit e such that for any other unit $u \in \mathcal{O}_K^*$ there is a n such that $e^n = u$ and up to passing to the inverse, we can suppose that $e > 1$. But then if ϵ_1 is not a fundamental, then $\epsilon_1 = e^n$ with $n \geq 0$ since e and $\epsilon_1 > 1$ but then $\epsilon_1 > e > 1$ since $e > 1$ which is in contradiction with the minimality of ϵ_1 . As a consequence, ϵ_1 is a fundamental unit.

Exercise 2:

Check the following table of fundamental units ϵ_1 for $\mathbb{Q}(\sqrt{D})$:

D	2	3	5	6	7	10
ϵ_1	$1 + \sqrt{2}$	$2 + \sqrt{3}$	$(1 + \sqrt{5})/2$	$5 + 2\sqrt{6}$	$8 + 3\sqrt{7}$	$3 + \sqrt{10}$

Solution:

Noting that $2, 3, 6, 7, 10 \equiv 2, 3 \pmod{4}$ and $5 \equiv 1 \pmod{4}$.

To solve this exercise, thank to the previous exercise it is enough to show that all the ϵ_1 satisfies $N_{K/\mathbb{Q}}(\epsilon_1) = \pm 1$ and are minimal > 1 as described before.

Exercise 3:

Let ζ be a primitive m -root of unity, p an odd prime. Show that

$$\mathbb{Z}[\zeta]^* = (\zeta)\mathbb{Z}[\zeta + \zeta^{-1}]^*$$

Show that

$$\mathbb{Z}[\zeta]^* = \{\pm \zeta^k (1 + \zeta)^n \mid 0 \leq k < 5, n \in \mathbb{Z}\}$$

if $p = 5$.

Solution:

If we do assume, that we know that $\mathcal{O}_K = \mathbb{Z}[\zeta]$ (Proposition 10.2 up to proving it). Note that, $K_{\mathbb{R}} = \mathbb{Q}(\zeta + \zeta^{-1})$, since clearly $\zeta + \zeta^{-1}$ is real and it has index 2 over K because it satisfies the irreducible polynomial

$$x^2 - (\zeta^{-1} + \zeta)x + 2 = 0$$

And

$$\mathcal{O}_{K_{\mathbb{R}}} = \mathcal{O}_K \cap K_{\mathbb{R}} = \mathbb{Z}[\zeta^{-1} + \zeta]$$

So that

$$\mathbb{Z}[\zeta^{-1} + \zeta]^* \subseteq \mathbb{Z}[\zeta]^*$$

Now, the group of the roots of unity $\mu(K)$ of K is clearly (ζ) . So that $(\zeta)\mathbb{Z}[\zeta^{-1} + \zeta]^* \subseteq \mathbb{Z}[\zeta]^*$.

As a consequence, it is enough to prove that any $\epsilon \in \mathbb{Z}[\zeta]^*$, there exists a unit $\epsilon_1 \in \mathcal{O}_{K^+}^*$ and an integer r such that $\epsilon = \zeta^r \cdot \epsilon_1$.

Choose then ϵ as above and set $\alpha = \epsilon/\bar{\epsilon}$. Clearly, α is an algebraic integer with absolute value 1; also, all of its conjugates have absolute value 1, since they commute with conjugation.

Claim: An algebraic integer α whose Galois conjugates all have absolute value 1 must be a root of unity.

Proof of the claim: Say that the degree of α is d . Then each of its powers have degree no more than d . Let $f(x)$ be the minimal polynomial for a power of α . Then the i^{th} coefficient of f is bounded by the binomial coefficient $\binom{i}{d}$ since all conjugates of α are bounded by 1. Therefore there are only finitely many such polynomials, ergo finitely many powers of α .

The only roots of unity in K are $\pm\zeta^a$, so $\epsilon/\bar{\epsilon} = \pm\zeta^a$ for some a . We will now show that $\pm = +$.

Assume that $\pm = -$. Since ϵ is an integer,

$$\epsilon = b_0 + b_1\zeta + \dots + b_{p-2}\zeta^{p-2} \equiv b_0 + b_1 + \dots + b_{p-2} \pmod{\zeta - 1}$$

Since $\bar{\epsilon} = b_0 + b_1\zeta^i + \dots$, the same congruence is true for $\bar{\epsilon}$. therefore,

$$\epsilon = -\zeta^a\bar{\epsilon} \equiv -\epsilon \pmod{\zeta - 1}$$

and $2\epsilon \equiv 0 \pmod{\zeta - 1}$. But this is impossible because $\zeta - 1$ is relatively prime to 2 and ϵ is a unit.

Thus, we conclude that $\epsilon/\bar{\epsilon} = \zeta^a$. Letting $2r \equiv a \pmod{p}$ and $\epsilon_1 = \zeta^{-r}\epsilon$, we get $\epsilon = \zeta^r\epsilon_1$ and $\bar{\epsilon}_1 = \epsilon_1$ so that $\epsilon_1 \in K_{\mathbb{R}}$.

For the case when $p = 5$; Recall that the Galois group K/\mathbb{Q} is

$$\text{Gal}(K/\mathbb{Q}) = \{\sigma : \zeta \mapsto \zeta^a, a \in (\mathbb{Z}/n\mathbb{Z})^\times\}$$

Note that K is a totally complex field, there is $r_1 = 0$ real embeddings of K into \mathbb{C} and $r_2 = (p-1)/2$ conjugate pairs of complex embeddings. Note that every p^{th} root of unity not equal to 1 is primitive, so the embeddings $K \rightarrow \mathbb{C}$ are given by $\zeta \mapsto \zeta^a$ for $a = 1, \dots, p-1$. Clearly each of these is not a real embedding. Thus they are complex embedding and the result follows, since $\deg(K/\mathbb{Q}) = r_1 + 2r_2$.

As $p|2^{p-1} - 1$, so that $z^{2^{p-1}} = z$, $1 + \zeta \in \mathbb{Z}[\zeta]$ and

$$N_{K/\mathbb{Q}}(1 + \zeta) = \prod_{\sigma} \sigma(1 + \zeta) = (1 + z) \dots (1 + z^{p-1}) = \frac{z^2 - 1}{z - 1} \dots \frac{z^{2^{p-1}} - 1}{z^{2^{p-2}} - 1} = 1$$

So that $1 + \zeta \in \mathcal{O}_K^*$.

Now, observe that $\zeta + \zeta^{-1}$, for $p = 5$, satisfies $\zeta^4 + \zeta^3 + \zeta^2 + \zeta + 1 = 0$; and this can be rearranged to

$$(\zeta + \zeta^{-1})^2 + (\zeta + \zeta^{-1}) - 1 = 0$$

so that letting $\theta = \zeta + \zeta^{-1}$ we get:

$$\theta^2 + \theta - 1 = 0$$

As a consequence $\theta = \frac{-1 \pm \sqrt{5}}{2}$.

But since $\theta = e^{2i\pi/5} + e^{-2i\pi/5} = 2\cos(2\pi/5) > 0$, then $\theta = \frac{-1 + \sqrt{5}}{2}$.

So that $\mathbb{Q}(\sqrt{5}) = \mathbb{Q}(\zeta + \zeta^{-1})$ is a subfield of K and "the" fundamental unit for $\mathbb{Q}(\sqrt{5})$ is as shown earlier $\eta = (1 + \sqrt{5})/2$.

Let u a unit in $\mathbb{Q}(\zeta)$ then $u\bar{u}$ is a unit in $\mathbb{Q}(\sqrt{5})$ (where \bar{u} is the complex conjugate of u). In fact $u\bar{u}$ is in $K_{\mathbb{R}} = \mathbb{Q}(\sqrt{5})$, since $u\bar{u} = u\bar{u}$ and it is a unit. Note that $(1 + \zeta)(1 + \zeta^{-1}) = 2 + \zeta + \zeta^{-1} = 2 + \frac{-1 + \sqrt{5}}{2} = \frac{3 + \sqrt{5}}{2} = \eta^2$.

But now, if $1 + \zeta$ is not a fundamental unit in K then there is a fundamental unit in K and an integer n such that $1 + \zeta = u^n$, and $(u\bar{u})^n = \frac{3 + \sqrt{5}}{2}$. But, for $n > 1$, the $n\sqrt{\frac{3 + \sqrt{5}}{2}}$ is not in $\mathbb{Z}[(1 + \sqrt{5})/2]$.

Exercise 4:

Let ζ be a primitive m^{th} root of unity, $m \geq 3$. Show that the numbers $\frac{1 - \zeta^k}{1 - \zeta}$ for $(k, m) = 1$ are units in the ring of integers of the field $\mathbb{Q}(\zeta)$. The subgroup of the group of units they generate is called the group of **cyclotomic units**.

Solution:

Since $\frac{1 - \zeta^k}{1 - \zeta} = 1 + \zeta + \zeta^2 + \dots + \zeta^{k-1} \in \mathbb{Z}[\zeta] = \mathcal{O}_K$. Now, since $(k, m) = 1$ then there is a $r \in \mathbb{Z}$ such that $kr \equiv 1 \pmod{m}$ and then $p \mid kr - 1$ so that $\zeta^{kr} = \zeta$. Then, the inverse

$$\frac{1 - \zeta}{1 - \zeta^k} = \frac{1 - \zeta^{kr}}{1 - \zeta^k} = \frac{1 - (\zeta^k)^r}{1 - \zeta^k} = 1 + \zeta^k + \dots + (\zeta^k)^{r-1} \in \mathbb{Z}[\zeta] = \mathcal{O}_K$$

Exercise 5:

\mathfrak{a} and \mathfrak{b} are ideals of A , then one has $\mathfrak{a} = \mathfrak{a}B \cap A$ and $\mathfrak{a} \mid \mathfrak{b} \Leftrightarrow \mathfrak{a}B \mid \mathfrak{b}B$.

Solution:

We start by proving that $\mathfrak{a} \mid \mathfrak{b} \Leftrightarrow \mathfrak{a}B \mid \mathfrak{b}B$.

If $\mathfrak{a} \mid \mathfrak{b}$ then $\mathfrak{b} \subseteq \mathfrak{a}B$, so that $\mathfrak{b}B \subseteq \mathfrak{a}B$.

For the converse, first notice the following.

Let \mathfrak{a} and \mathfrak{b} be ideals of A .

We can write them uniquely as a product of coprime prime:

$$\mathfrak{a} = \prod_{i=1}^r \mathfrak{p}_i^{e_i}$$

$$\mathfrak{b} = \prod_{i=1}^l \mathfrak{q}_i^{f_i}$$

As a consequence, the unique factorization in prime for $\mathfrak{a}B$ and $\mathfrak{b}B$,

$$\mathfrak{a}B = \prod_{i=1}^r \left(\prod_{j=1}^{r_i} \mathfrak{p}_{ij}^{e_{ij}} \right)^{e_i}$$

$$\mathfrak{b}B = \prod_{i=1}^l \left(\prod_{j=1}^{l_i} \mathfrak{Q}_{ij}^{f_{ij}} \right)^{f_i}$$

Where the \mathfrak{P}_{ij} of B are the prime over \mathfrak{p}_i and \mathfrak{Q}_{ij} of B are the prime over \mathfrak{q}_i . So, that the only prime appearing in the factorization of $\mathfrak{a}B$ are the prime above the \mathfrak{p}_i and of $\mathfrak{b}B$ are the prime above the \mathfrak{q}_i .

Now, suppose that $\mathfrak{a}B \mid \mathfrak{b}B$. So that all the \mathfrak{P}_{ij} appear in the decomposition of $\mathfrak{b}B$. We first prove that the prime appearing in the decomposition of \mathfrak{a} divide also \mathfrak{b} . Indeed, take one of the \mathfrak{p}_i , if $\mathfrak{p}_i \nmid \mathfrak{b}$, then \mathfrak{p}_i is not one of the \mathfrak{q}_i , so that the \mathfrak{P}_{ij} 's over \mathfrak{p}_i cannot appear in the decomposition of $\mathfrak{b}B$, and this contradict what we have just said above. Now, we just have that $e_i = v_{\mathfrak{a}}(\mathfrak{p}_i) \leq v_{\mathfrak{b}}(\mathfrak{p}_i)$. For that we write

$$\mathfrak{b} = \prod_{i=1}^r \mathfrak{p}_i^{f_i} \mathfrak{c}$$

with $(\mathfrak{c}, \mathfrak{p}_i) = 1$ for any i . Then, we get:

$$\mathfrak{b}B = \prod_{i=1}^l \left(\prod_{j=1}^{l_i} \mathfrak{P}_{ij}^{e_{ij}} \right)^{f_i} (\mathfrak{c}B)$$

And since $\mathfrak{a}B \mid \mathfrak{b}B$, and we are in Dedekind domain then

$$e_{ij}e_i = v_{\mathfrak{a}B}(\mathfrak{P}_{ij}) \leq e_{ij}f_i = v_{\mathfrak{b}B}(\mathfrak{P}_{ij})$$

So that,

$$v_{\mathfrak{a}}(\mathfrak{p}_i) \leq v_{\mathfrak{b}}(\mathfrak{p}_i)$$

as wanted.

And, we have just proved that, $\mathfrak{a} \mid \mathfrak{b}$.

Notice that then we have that $\mathfrak{a} = \mathfrak{b}$ if and only if $\mathfrak{a}B = \mathfrak{b}B$. (*)

Now, we prove that $\mathfrak{a}B \cap A = \mathfrak{a}$. Clearly, $\mathfrak{a} \subseteq \mathfrak{a}B \cap A$, for $a \in \mathfrak{a}$ then since $1 \in B$, $a = a \cdot 1 \in \mathfrak{a}B$ and $a \in A$ as in an ideal of A , so that $a \in \mathfrak{a}B \cap A$.

Noticing that $(\mathfrak{a}B \cap A)B = \mathfrak{a}B$. In fact, $\mathfrak{a} \subseteq \mathfrak{a}B$ and $\mathfrak{a} \subseteq A$ then $\mathfrak{a}B \subseteq (\mathfrak{a}B \cap A)B$ but now $\mathfrak{a}B \cap A \subseteq \mathfrak{a}B$ so we get the other inclusion. But using the previous remark (*), we get exactly what we wanted.

Preliminary about flatness and Dedekind domain.

An A -module M is called flat (over A) if for every injective homomorphism of A -modules $N \rightarrow N'$, $N \otimes_A M \rightarrow N' \otimes_A M$ is injective.

Let A be an integral domain and M an A -module. An element $x \in M$ is called torsion element if there is a non-zero $a \in A$ such that $ax = 0$. We call M torsion free over A if there is no nonzero torsion element in M . Here theorems about flatness easily found in the literature, good to know.

Let A be a principal ideal domain. An A -module M is flat if and only if it is torsion-free over A .

Let M be an A -module. The following properties are equivalent:

1. M is flat over A ;
2. $M_{\mathfrak{p}}$ is flat over $A_{\mathfrak{p}}$ for every prime ideal \mathfrak{p} of A ;
3. $M_{\mathfrak{m}}$ is flat over $A_{\mathfrak{m}}$ for every maximal ideal \mathfrak{m} of A ;

Moreover, a Dedekind domain is a Noetherian integral domain A whose localizations $A_{\mathfrak{p}}$ at the prime ideals \mathfrak{p} are principal ideal domains.

Let A be a Dedekind domain. An A -module is flat if and only if it is torsion-free over A . In particular, every injective ring homomorphism $A \rightarrow B$ with B an integral domain is flat.

Exercise 5:

\mathfrak{a} and \mathfrak{b} are ideals of A , then one has $\mathfrak{a} = \mathfrak{a}B \cap A$ and $\mathfrak{a}|\mathfrak{b} \Leftrightarrow \mathfrak{a}B|\mathfrak{b}B$.

Solution:

Recall that if M is a A -module. Then $M = 0$ if and only if $M_{\mathfrak{m}} = 0$ for every maximal ideal \mathfrak{m} of A .

Proof: Let $x \in M$. Let us consider the ideal $I = \{a \in A | ax = 0\}$. If $I \neq A$, there exists a maximal ideal \mathfrak{m} of A such that $I \subseteq \mathfrak{m}$. As $M_{\mathfrak{m}} = 0$, there exists an $s \in A \setminus \mathfrak{m}$ such that $sx = 0$. Hence $s \in I$, which contradicts the assumption that $I \subseteq \mathfrak{m}$. Consequently, $I = A$ and $1 \in I$ and hence $x = 0$.

Recall also a very important lemma in commutative algebra: (Nakayama's lemma). Let A be a local ring with maximal ideal \mathfrak{m} and a finitely generated A -module such that $M = \mathfrak{m}M$. Then $M = 0$.

Proof: Let $\{x_1, \dots, x_n\}$ be a system of generators of M . We may suppose n minimal. There exist $\alpha_i \in \mathfrak{m}$ such that $x_n = \sum \alpha_i x_i$. Hence $(1 - \alpha_n)x_n = \sum_{i < n} \alpha_i x_i$. As $1 - \alpha_n$ is invertible, and n is assumed to be minimal, it follows that $n = 1$ and $x_n = 0$.

Note that since B is Dedekind, then for any \mathfrak{p} (prime) maximal, we have proven that $\mathfrak{p}B \neq B$.

Claim: If N is a finitely generated A -module. we have that $B \otimes_A N = 0$ implies $N = 0$.

Indeed, from the first remark, we may assume that A is local with maximal ideal \mathfrak{m} . By tensoring with $k = A/\mathfrak{m}$, we obtain $M/\mathfrak{m}M \otimes_k N/\mathfrak{m}N = 0$. It follows that $N/\mathfrak{m}N = 0$. (Since now we have a tensor product of vector space, if we have a basis $\{e_i\}$ a base

of $M/\mathfrak{m}M$ and $\{f_i\}$ a base of $N/\mathfrak{m}N$ then $\{e_i \otimes f_j\}$ is a base on the tensor product). Hence $N = 0$, by Nakayama's Lemma.

Notice that $\mathfrak{a} \subseteq \mathfrak{a}B \cap A$, for $a \in \mathfrak{a}$ then since $1 \in B$, $a = a \cdot 1 \in \mathfrak{a}B$ and $a \in A$ as in an ideal of A , so that $a \in \mathfrak{a}B \cap A$. so that, the map $A/\mathfrak{a} \rightarrow B/\mathfrak{a}B$. Notice that $B/\mathfrak{a}B = B \otimes_A A/\mathfrak{a}$ is well define. Let N to be the kernel of this map, then we get the exact sequence $1 \rightarrow N \rightarrow A/\mathfrak{a} \rightarrow B/\mathfrak{a}B$. Tensoring by B over A , by flatness of B over A (since $A \rightarrow B$ is an injective ring homomorphism with A Dedekind and B an integral domain), we get the exact sequence $1 \rightarrow N \otimes_A B \rightarrow B/\mathfrak{a}B \rightarrow B/\mathfrak{a}B \otimes_A B$.

But, now $B/\mathfrak{a}B \rightarrow B/\mathfrak{a}B \otimes_A B$ is injective. (since now, $B/\mathfrak{a}B$ and B are both B module, if $y \otimes 1 = 0$ then $(y) \otimes (1) = 0$ and we can apply the claim since (y) and (1) are finitely generated, and find that $y = 0$).

So that $N \otimes_A B = 0$ and then N equals 0, which means that the first map is injective. As a consequence $\mathfrak{a}B \cap A = \mathfrak{a}$.

If $\mathfrak{a}|\mathfrak{b}$ then $\mathfrak{b} \subseteq \mathfrak{a}B$, so that $\mathfrak{b}B \subseteq \mathfrak{a}B$. Now, if $\mathfrak{b}B \subseteq \mathfrak{a}B$. Now, if $\mathfrak{b}B \subseteq \mathfrak{a}B$, then $\mathfrak{b}B \cap A \subseteq \mathfrak{a}B \cap A$, then $\mathfrak{b} \subseteq \mathfrak{a}$.